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10/064,050	06/04/2002	Hai-Jui Lin	AVIP0024USA	9788
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NORTH AMERICA INTELLECTUAL PROPERTY CORPORATION			THOMPSON, JAMES A	
P.O. BOX 506			ART UNIT	
MERRIFIELD, VA 22116			PAPER NUMBER	

2624

DATE MAILED: 12/12/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No. 10/064,050	Applicant(s) LIN ET AL.	
	Examiner James A. Thompson	Art Unit 2624	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 04 June 2002.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-15 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-15 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 04 June 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

DETAILED ACTION

*Priority*

1. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

*Claim Rejections - 35 USC § 112*

2. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

3. Claims 1-7 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 1 recites a "method for calibrating an image" but the first four elements recited are elements of a scanner. Then, a single method step is recited. Is claim 1 supposed to be directed to a method or to a device? While the preamble of claim 1 states that claim 1 is a method, the actual recitation of the claim is largely concerned with the elements of a physical device. Therefore, the recitation of claim 1 does not particularly point out and distinctly claim the subject matter which Applicant regards as the invention.

*Claim Rejections - 35 USC § 103*

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

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(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1-15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Orito (US Patent 6,072,912) in view of Toyofuku (US Patent 5,289,000).

**Regarding claim 1:** Orito discloses a scanner (figure 4 of Orito) comprising a housing (figure 4(31) and column 5, lines 16-19 of Orito) comprising a transparent platform (figure 4 (below 43) of Orito) positioned within the housing for placing the document thereon (column 5, lines 41-48 of Orito). A transparent platform, while not specifically mentioned, is inherently within the housing and is used to place the document thereon since, without some form of platform, there is nothing upon which the document can rest and be scanned and, if said platform is not transparent, the light generated by the irradiation lamp cannot pass through said platform to be reflected by the mirror and read by the image sensor (column 5, lines 41-48 of Orito).

Orito further discloses a light-distributing device (figure 4(52) of Orito) positioned below the transparent platform (as clearly seen in figure 4 of Orito) for projecting light on the document (column 5, lines 41-45 of Orito); and a scanning module (figure 4(54) of Orito) for sensing the light reflecting from the document and generating a corresponding scan signal (column 5, lines 45-55 of Orito).

Orito further discloses amplifying or decaying (column 9, lines 39-45 of Orito) the scan signal generated from the scanning module (column 9, lines 53-65 of Orito) according to a

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position of the document that is being scanned by said scanning module (figure 8; figure 9; column 8, lines 56-61; and column 9, lines 3-7 and lines 46-51 of Orito).

Orito does not disclose expressly that said transparent platform is positioned on the housing; that said light-distributing device is positioned above said transparent platform; a track positioned inside the housing parallel with a scanning direction of the scanner; and that said scanning module is movably positioned on the track and senses light passing *through* the document.

Orito further does not disclose expressly that said amplifying or decaying occurs specifically according to a position of the *scanning module* located on the track when the scanning module slides along the track to scan the document.

Toyofuku discloses a transparent platform that is positioned on the housing (figure 1(22) and column 7, lines 37-41 of Toyofuku); a light-distributing device (figure 1(102) and column 11, lines 45-53 of Toyofuku) that is positioned above said transparent platform (as clearly shown in figure 1 of Toyofuku); a track (figure 2 of Toyofuku) positioned inside the housing (as shown by the fact that figure 1(31) of Toyofuku, which is driven by the track, is inside the housing) parallel with a scanning direction of the scanner (column 12, lines 29-41 of Toyofuku); and a scanning module (figure 1(31) of Toyofuku) that movably positioned on the track for sensing the light passing through the document and generating a corresponding scan signal (column 12, lines 3-17 of Toyofuku).

Orito and Toyofuku are combinable because they are from the same field of endeavor, namely the procuring of digital image data through the high-quality digital scanning of hardcopy docu-

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ments. At the time of the invention, it would have been obvious to a person of ordinary skill in the art to perform the method of scanned image data correction taught by Orito using the specific scanner taught by Toyofuku. The motivation for doing so would have been that a scanner physically designed in the specific manner in which the scanner of Toyofuku is designed is capable of reading transparencies (column 1, lines 9-18 of Toyofuku). Therefore, it would have been obvious to combine Toyofuku with Orito to obtain the invention as specified in claim 1.

**Regarding claim 2:** Orito discloses generating a corresponding calibration signal when no document is positioned on the transparent platform (column 7, lines 45-50 of Orito); and using the calibration signal, which is generated from the scanning module moving to a first position on the track when no document is positioned on the transparent platform (column 7, lines 41-47 of Orito), to amplify or decay the scan signal when the document is positioned on the transparent platform to be scanned (column 9, lines 34-43 of Orito) and when the scanning module reaches the first position on the track to scan the document (column 9, lines 8-12 of Orito).

Orito does not disclose expressly moving the scanning module along the track for sensing light, which is generated from the light-distributing device and passes through the transparent platform.

Toyofuku discloses moving the scanning module along the track for sensing light (column 12, lines 4-14 of Toyofuku), which is generated from the light-distributing device and passes through the transparent platform (column 12, lines 9-17 of Toyofuku).

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Orito and Toyofuku are combinable because they are from the same field of endeavor, namely the procuring of digital image data through the high-quality digital scanning of hardcopy documents. At the time of the invention, it would have been obvious to a person of ordinary skill in the art to perform the method of scanned image data correction taught by Orito using the specific scanner taught by Toyofuku. The motivation for doing so would have been that a scanner physically designed in the specific manner in which the scanner of Toyofuku is designed is capable of reading transparencies (column 1, lines 9-18 of Toyofuku). Therefore, it would have been obvious to combine Toyofuku with Orito to obtain the invention as specified in claim 2.

**Regarding claim 3:** Orito discloses that the scan signal is amplified by a correction factor  $(FF(hex)/(W(n)-B(n)))$  when the scan signal is weaker than a standard value (column 9, lines 34-43 of Orito), and the scan signal approaches the standard value after being amplified by the correction factor (column 9, lines 34-43 of Orito). If correction is performed such that  $FF(hex)/(W(n)-B(n))$  is greater than 1, then  $FF(hex)/(W(n)-B(n))$  is an amplification value.

**Regarding claim 4:** Orito discloses that the scan signal is decayed by a correction factor  $(FF(hex)/(W(n)-B(n)))$  when the scan signal is stronger than a standard value (column 9, lines 34-43 of Orito), and the scan signal approaches the standard value after being decayed by the correction factor (column 9, lines 34-43 of Orito). If correction is performed such that  $FF(hex)/(W(n)-B(n))$  is less than 1, then  $FF(hex)/(W(n)-B(n))$  is a decaying value.

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**Regarding claim 5:** Orito discloses recording the calibration signal (column 7, lines 58-60 and column 8, lines 11-13 of Orito).

**Regarding claim 6:** Orito discloses that the scanning module comprises a plurality of sensors (column 5, lines 48-52 of Orito), and each sensor is used for sensing the light projecting on the scanning module to generate a corresponding pixel-scan-signal so that the scan signal generated from the scanning module comprises a plurality of pixel-scan-signals generated from the sensors (column 5, lines 52-62 of Orito).

Orito further discloses that the method further comprises amplifying the pixel-scan-signal generated from one of the sensors with corresponding correction factor  $(FF(hex)/(W(n)-B(n)))$  when the pixel-scan-signal is weaker than a standard value (column 9, lines 34-43 of Orito); and decaying the pixel-scan-signal generated from one of the sensors with corresponding correction factor  $(FF(hex)/(W(n)-B(n)))$  when the pixel-scan-signal is stronger than the standard value (column 9, lines 34-43 of Orito). If correction is performed such that  $FF(hex)/(W(n)-B(n))$  is greater than 1, then  $FF(hex)/(W(n)-B(n))$  is an amplification value. If correction is performed such that  $FF(hex)/(W(n)-B(n))$  is less than 1, then  $FF(hex)/(W(n)-B(n))$  is a decaying value.

**Regarding claim 7:** Orito discloses each sensor generating a corresponding calibration signal when no document is positioned on the transparent platform (column 7, lines 41-52 of Orito); and determining the correction factor  $(FF(hex)/(W(n)-B(n)))$  of the pixel-scan-signal (column 9, lines 34-43 of Orito), which is generated from the scanning module scanning the document at a first position on the track (column 9, lines 8-12 and lines 34-



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39 of Orito), according to the corresponding pixel-calibration-signal generated from the sensor of the scanning module located at the first position on the track when no document is positioned on the transparent platform (column 9, lines 34-45 of Orito).

Orito does not disclose expressly moving the scanning module along the track for sensing light, which is generated from the light-distributing device and passes through the transparent platform.

Toyofuku discloses moving the scanning module along the track for sensing light (column 12, lines 4-14 of Toyofuku), which is generated from the light-distributing device and passes through the transparent platform (column 12, lines 9-17 of Toyofuku).

Orito and Toyofuku are combinable because they are from the same field of endeavor, namely the procuring of digital image data through the high-quality digital scanning of hardcopy documents. At the time of the invention, it would have been obvious to a person of ordinary skill in the art to perform the method of scanned image data correction taught by Orito using the specific scanner taught by Toyofuku. The motivation for doing so would have been that a scanner physically designed in the specific manner in which the scanner of Toyofuku is designed is capable of reading transparencies (column 1, lines 9-18 of Toyofuku). Therefore, it would have been obvious to combine Toyofuku with Orito to obtain the invention as specified in claim 7.

**Regarding claim 8:** Orito discloses a scanner (figure 4 of Orito) comprising a housing (figure 4(31) and column 5, lines 16-19 of Orito) comprising a transparent platform (figure 4

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(below 43) of Orito) positioned within the housing for placing the document thereon (column 5, lines 41-48 of Orito). A transparent platform, while not specifically mentioned, is inherently within the housing and is used to place the document thereon since, without some form of platform, there is nothing upon which the document can rest and be scanned and, if said platform is not transparent, the light generated by the irradiation lamp cannot pass through said platform to be reflected by the mirror and read by the image sensor (column 5, lines 41-48 of Orito).

Orito further discloses a light-distributing device (figure 4(52) of Orito) positioned below the transparent platform (as clearly seen in figure 4 of Orito) for projecting light on the document (column 5, lines 41-45 of Orito); and a scanning module (figure 4(54) of Orito) for sensing the light reflecting from the document and generating a corresponding scan signal (column 5, lines 45-55 of Orito).

Orito further discloses a processor (figure 5(71) of Orito) circuit for controlling the scan signal, wherein the processing circuit amplifies or decays (column 9, lines 39-45 of Orito) the scan signal generated from the scanning module (column 9, lines 53-65 of Orito) according to the position of the document that is being scanned by said scanning module (figure 8; figure 9; column 8, lines 56-61; and column 9, lines 3-7 and lines 46-51 of Orito).

Orito does not disclose expressly that said transparent platform is positioned on the housing; that said light-distributing device is positioned above said transparent platform; a track positioned inside the housing parallel with a scanning direction of the scanner; and that said scanning module is

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movably positioned on the track and senses light passing *through* the document.

Orito further does not disclose expressly that said amplifying or decaying occurs specifically according to a position of the *scanning module* located on the track when the scanning module slides along the track to scan the document.

Toyofuku discloses a transparent platform that is positioned on the housing (figure 1(22) and column 7, lines 37-41 of Toyofuku); a light-distributing device (figure 1(102) and column 11, lines 45-53 of Toyofuku) that is positioned above said transparent platform (as clearly shown in figure 1 of Toyofuku); a track (figure 2 of Toyofuku) positioned inside the housing (as shown by the fact that figure 1(31) of Toyofuku, which is driven by the track, is inside the housing) parallel with a scanning direction of the scanner (column 12, lines 29-41 of Toyofuku); and a scanning module (figure 1(31) of Toyofuku) that movably positioned on the track for sensing the light passing through the document and generating a corresponding scan signal (column 12, lines 3-17 of Toyofuku).

Orito and Toyofuku are combinable because they are from the same field of endeavor, namely the procuring of digital image data through the high-quality digital scanning of hardcopy documents. At the time of the invention, it would have been obvious to a person of ordinary skill in the art to perform the method of scanned image data correction taught by Orito using the specific scanner taught by Toyofuku. The motivation for doing so would have been that a scanner physically designed in the specific manner in which the scanner of Toyofuku is designed is capable of reading transparencies (column 1, lines 9-18 of Toyofuku). Therefore, it would have been obvious to combine

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Toyofuku with Orito to obtain the invention as specified in claim 8.

**Regarding claim 9:** Orito discloses that said scanning module generates a corresponding calibration signal when no document is positioned on the transparent platform (column 7, lines 45-50 of Orito); and said processing circuit uses the calibration signal, which is generated from the scanning module moving to a first position on the track when no document is positioned on the transparent platform (column 7, lines 41-47 of Orito), to amplify or decay the scan signal when the document is positioned on the transparent platform to be scanned (column 9, lines 34-43 of Orito) and when the scanning module reaches the first position on the track to scan the document (column 9, lines 8-12 of Orito).

Orito does not disclose expressly moving the scanning module along the track for sensing light, which is generated from the light-distributing device and passes through the transparent platform.

Toyofuku discloses moving the scanning module along the track for sensing light (column 12, lines 4-14 of Toyofuku), which is generated from the light-distributing device and passes through the transparent platform (column 12, lines 9-17 of Toyofuku).

Orito and Toyofuku are combinable because they are from the same field of endeavor, namely the procuring of digital image data through the high-quality digital scanning of hardcopy documents. At the time of the invention, it would have been obvious to a person of ordinary skill in the art to perform the method of scanned image data correction taught by Orito using the specific scanner taught by Toyofuku. The motivation for doing so

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would have been that a scanner physically designed in the specific manner in which the scanner of Toyofuku is designed is capable of reading transparencies (column 1, lines 9-18 of Toyofuku). Therefore, it would have been obvious to combine Toyofuku with Orito to obtain the invention as specified in claim 9.

**Regarding claim 10:** Orito discloses that the processing circuit amplifies the scan signal by a correction factor ( $FF(hex)/(W(n)-B(n))$ ) when the scan signal is weaker than a standard value (column 9, lines 34-43 of Orito), and the scan signal approaches the standard value after being amplified by the correction factor (column 9, lines 34-43 of Orito). If correction is performed such that  $FF(hex)/(W(n)-B(n))$  is greater than 1, then  $FF(hex)/(W(n)-B(n))$  is an amplification value.

**Regarding claim 11:** Orito discloses that the processing circuit decays the scan signal by a correction factor ( $FF(hex)/(W(n)-B(n))$ ) when the scan signal is stronger than a standard value (column 9, lines 34-43 of Orito), and the scan signal approaches the standard value after being decayed by the correction factor (column 9, lines 34-43 of Orito). If correction is performed such that  $FF(hex)/(W(n)-B(n))$  is less than 1, then  $FF(hex)/(W(n)-B(n))$  is a decaying value.

**Regarding claim 12:** Orito discloses a recording circuit (figure 5(73) of Orito) for storing the calibration signal (column 7, lines 58-60 and column 8, lines 11-13 of Orito).

**Regarding claim 13:** Orito discloses that the scanner (figure 1(30) of Orito) is connected to a computer (figure 1(10) of Orito), and the calibration signal is stored in the computer (column 7, lines 11-16 of Orito).

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**Regarding claim 14:** Orito discloses that the scanning module comprises a plurality of sensors (column 5, lines 48-52 of Orito), each sensor is used for sensing the light projecting on the scanning module to generate a corresponding pixel-scan-signal, the scan signal generated from the scanning module comprises a plurality of pixel-scan-signals generated from the sensors (column 5, lines 52-62 of Orito), and the processing circuit amplifies and decays pixel-scan-signals generated from different sensors with corresponding correction factors ( $FF(hex)/(W(n)-B(n))$  for each  $n$ ) after comparing the pixel-scan-signals with a standard value (column 9, lines 34-43 of Orito). If correction is performed such that  $FF(hex)/(W(n)-B(n))$  is greater than 1, then  $FF(hex)/(W(n)-B(n))$  is an amplification value. If correction is performed such that  $FF(hex)/(W(n)-B(n))$  is less than 1, then  $FF(hex)/(W(n)-B(n))$  is a decaying value.

**Regarding claim 15:** Orito discloses that the scanning module uses each sensor for generating a corresponding pixel-calibration-signal when no document is positioned on the transparent platform (column 7, lines 41-52 of Orito); and the processing circuit determines the correction factor ( $FF(hex)/(W(n)-B(n))$ ) of the pixel-scan-signal (column 9, lines 34-43 of Orito), which is generated from the scanning module scanning the document at a first position on the track (column 9, lines 8-12 and lines 34-39 of Orito), according to the corresponding pixel-calibration-signal generated from the sensor of the scanning module located at the first position on the track when no document is positioned on the transparent platform (column 9, lines 34-45 of Orito).

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Orito does not disclose expressly that the scanning module moves along the track for sensing light which is generated from the light-distributing device and passes through the transparent platform.

Toyofuku discloses moving the scanning module along the track for sensing light (column 12, lines 4-14 of Toyofuku), which is generated from the light-distributing device and passes through the transparent platform (column 12, lines 9-17 of Toyofuku).

Orito and Toyofuku are combinable because they are from the same field of endeavor, namely the procuring of digital image data through the high-quality digital scanning of hardcopy documents. At the time of the invention, it would have been obvious to a person of ordinary skill in the art to perform the method of scanned image data correction taught by Orito using the specific scanner taught by Toyofuku. The motivation for doing so would have been that a scanner physically designed in the specific manner in which the scanner of Toyofuku is designed is capable of reading transparencies (column 1, lines 9-18 of Toyofuku). Therefore, it would have been obvious to combine Toyofuku with Orito to obtain the invention as specified in claim 15.

#### *Conclusion*

6. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

a. Max Salz, US Patent 2,473,729, Patented 21 June 1949, Filed 31 July 1945.

b. Katsuyoshi Maeshima, US Patent 5,038,225, Patented 06 August 1991, Filed 03 April 1987.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to James A. Thompson whose telephone number is 571-272-7441. The examiner can normally be reached on 8:30AM-5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David K. Moore can be reached on 571-272-7437. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



05 December 2005

James A. Thompson  
Examiner  
Art Unit 2624



THOMAS D. LEE